

Dealer Group and Adviser Registration Form



Send the completed form by fax to (02) 9323 6411 or by mail to:
AZ Sestante Limited
C/O- State Street Australia Limited - Unit Registry
Level 14, 420 George Street
Sydney NSW 2000

AZ Sestante Limited
ABN 94 106 888 662
AFSL 284442

Please print within the boxes in CAPITAL LETTERS. Mark appropriate boxes with a cross i.e.

NEW DEALER GROUP DETAILS

Dealer group name

ABN

AFSL (attach copy of AFSL)

Address (where correspondence
is to be sent)

Phone

Fax

Email

Principal contact

NEW ADVISER DETAILS (if different to dealer group details above)

Adviser name

Full registered business name

ABN

AFSL

Address (where correspondence
is to be sent)

Phone

Fax

Email

INVESTOR TRANSFER

Are investors being transferred to the new adviser?

Yes

No

To complete the investor transfer, please submit a list of all investors that are being transferred including full names and investor numbers.

If the transfer is occurring between dealer groups, we require a signed letter from the old dealer group authorising the release of the investors. The letter must be provided on the old dealer group letterhead.

Signature of adviser

Date

Adviser stamp