



Financial Services Guide

AZ Sestante Limited

Dated: July 2016

Responsible Entity:

AZ Sestante Limited
ABN 94 106 888 662 AFSL 284442
Level 18, 1 Margaret St, Sydney NSW 2000

Contact details

If you have an enquiry or would like more information about AZ Sestante Limited, you can speak to an Ironbark representative between 9.00am and 5.30pm (Sydney time), Monday to Friday (excluding public and bank holidays in NSW).

Ironbark Client Services:

-  Phone: 1800 034 402
-  Email: client.services@ironbarkam.com
-  Website: www.azsestante.com

Write to us at Level 18, 1 Margaret Street, Sydney NSW 2000

About this document

This Financial Services Guide ('FSG') is issued by AZ Sestante Limited ('AZ Sestante'). It is an important document that we are required to provide to you under the terms of our Australian Financial Services Licence. It provides important information to help you decide whether to use the financial services and products we are authorised to provide. This FSG outlines who we are and the types of services and products that we offer. It also explains how we are remunerated for these services and includes details of our complaints handling procedure and privacy policy.

Our Authorised Services

AZ Sestante is a responsible entity of registered managed investment schemes and acts on our own behalf as a financial services licensee. Our Australian Financial Services Licence ('AFSL') authorises us to carry on financial services to retail and wholesale clients with regards to:

About AZ Sestante Limited

AZ Sestante Limited is the Responsible Entity ('Responsible Entity') and issuer of units in managed invest schemes. It is a majority owned subsidiary of Azimut International Holdings S.A. ('Azimut'). Azimut is fully owned by the Azimut Holding S.p.A. (collectively 'Azimut Group'). Azimut Group is a listed public company. Azimut Group is an asset manager with over AU\$50 billion in assets under management including approximately AU\$6 billion in multi-manager funds as at the date of this FSG.

Provide general financial product advice for:	<ul style="list-style-type: none"> • Deposit and payment products • Derivatives • Foreign exchange Contracts • Government debentures, stocks and bonds • Interests in managed investment schemes excluding investor directed portfolio services • Securities
Deal in a financial product by issuing, applying for, acquiring, varying or disposing:	<ul style="list-style-type: none"> • Derivatives • Foreign exchange contracts • Interest in a managed investment scheme limited to our managed investment scheme only
Deal in a financial product by applying for, acquiring, varying or disposing on behalf of another person:	<ul style="list-style-type: none"> • Deposit and payment products • Derivatives • Foreign exchange Contracts • Government debentures, stocks and bonds • Interests in managed investment schemes excluding investor directed portfolio services • Securities
Operate a registered managed investment scheme of property in:	<ul style="list-style-type: none"> • Financial assets

Other documents you may received

Product Disclosure Statement

Before you can acquire one of our products, you will receive a Product Disclosure Statement ('PDS'). The PDS contains important information about the product you are considering investing in and will help you make an informed decision about whether or not to acquire the product.

The PDS contains information such as:

- Benefits and risks involved in acquiring the product
- Fees and charges applicable
- Characteristics and features of the product
- Potential taxation implications
- Dispute resolution
- Any cooling-off rights

Any instructions you wish to provide in relation to our financial products must be in writing as set out in the relevant PDS. Please read the PDS carefully you can download a copy of the relevant PDS from our website or call Client Services.

Statement of Advice

Our staff only provide you with factual information and in some circumstances may provide general financial product advice, as per our licence authorisation. We are unable to provide you with any personal advice. This means that your objectives, financial situation or needs will not be taken into account and accordingly a Statement of Advice will not be provided. If you require financial advice you will need to contact an authorized financial adviser. We do not warrant the suitability of any of the products or services described in this FSG.

How we are remunerated for the services provided

AZ Sestante does not charge any fees for the provision of general advice or other information concerning the funds issued by AZ Sestante. AZ Sestante does not receive any commission from any third parties for the provision of general advice. However, if you invest in a product distributed by AZ Sestante the product issuer will receive remuneration in relation to your investment in that product. This remuneration may include investment management fees responsible entity costs and expense recoveries.

Where AZ Sestante acts on behalf of a third party product issuer, AZ Sestante is remunerated by receiving a percentage share of the Management and/or Incentive fees for the product and there is no additional costs to investors.

Our employees

Our employees are remunerated by salary and do not receive any commissions. They may be eligible for an annual bonus payment, which is discretionary and based on performance.

Relationships and associations

AZ Sestante has engaged the services of external parties to enable us to provide the services described in this FSG. The costs of providing these services will be paid by AZ Sestante from the fees that are received. They will not be separately remunerated by you.

How to give instructions

Unless otherwise stated in the relevant PDS, it is required that you provide all instructions regarding your investment in writing, signed by you. Please refer to the relevant PDS for alternative means of providing instructions, if applicable.

Compensation

We have an insurance policy in place which satisfies the requirements of section 912B of the Corporations Act. Subject to its terms and conditions the policy provides cover for losses incurred by a client arising from the provisional services provided by AZ Sestante and its employees and representatives even after they have ceased to work for us.

Privacy

The privacy of your personal information is important to us. We have developed a Privacy Policy to ensure your personal information remains protected. Any personal information collected will be handled in accordance with our policy. A copy of the Privacy Policy may be obtained by contacting us directly or visiting our website at www.azsestante.com.

Complaint

AZ Sestante has an internal complaints handling procedure in place. Complaints may be received either verbally or in writing (letter, email or fax) as follows:

Address: Compliance Manager
AZ Sestante Limited
Level 18, 1 Margaret Street
Sydney NSW 2000
Phone: 1800 034 402
Email: Client.Services@ironbarkam.com

AZ Sestante will acknowledge receipt of your complaint. We will use reasonable endeavours to deal with or resolve your complaint within 45 days.

If you are not satisfied with our response or how we handled the complaint or have not provided you with a decision within 45 days you may refer your complaint to an external dispute resolution services. AZ Sestante is a member of Financial Ombudsman Service Limited ('FOS'). FOS will independently review your complaint.

Financial ombudsman Service Limited
GPO Box 3
Melbourne Vic 3001

Consumer: 1300 78 08 08 (9.00am to 5.00pm
Melbourne time) weekdays
Phone: 03 9613 7366
Fax: 03 9613 6399
Email: info@fos.org.au
Website: www.fos.org.au